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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,585	08/15/2001	Stephen D. O'Connor	266/263

CONFIRMATION NO. 2200

22249

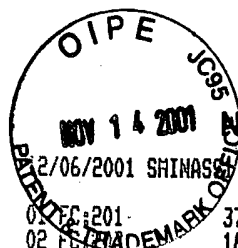
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071

FORMALITIES LETTER



OC00000006910620

Date Mailed: 10/15/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

2/06/2001 SHINASS 00000027 122475 09931585

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH
02 FC:202 189.00 CH
03 FC:202 168.00 CH
04 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$349.
 - \$189 for 21 total claims over 20.
 - \$160 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 769.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



TRADE MARK
Have the Application of:

Inventor: O'Connor, Stephen D. et al.

Serial No.: 09/931,585

Filed: August 15, 2001

For: OPTICAL DEVICES WITH FLUIDIC SYSTEMS

Group Art Unit: 2874

Examiner: Not Yet Assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on October 15, 2001, enclosed are:

- ☒ Declaration
- ☒ Revocation and Power of Attorney by Assignee: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Nanostream, Inc. (together with PTO Form 1595)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)
- ☒ Return Postcard

LA-217224.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

EL360341124US

Express Mail Label No.

November 14, 2001

Date of Deposit

Linda Major

Signature of Person Mailing Paper



II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

Fee

- ☒ If any extension fee is required, please consider this a petition therefor.

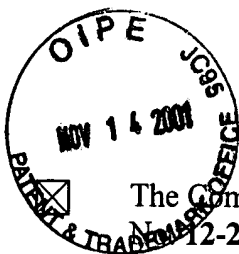
III. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:								\$740.00
Total Claims	41	-	20	=	21	x	\$18.00	\$378.00
Independent Claims	7	-	3	=	4	x	\$84.00	\$336.00
Multiple Dependent Claims	\$280	(if applicable)					<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)					<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS								\$1,584.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.							<input checked="" type="checkbox"/>	\$792.00
Extension of Time (from above)								\$0.00
Assignment -- \$40 (if applicable)							<input checked="" type="checkbox"/>	\$40.00
TOTAL FEES SUBMITTED HERewith								\$832.00

IV. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$832.00**.



Patent
Attorney Docket: 266/263

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: November 13, 2001

By: 

Yasser M. El-Gamal
Reg. No. 45,339



22249

PATENT TRADEMARK OFFICE

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